

Republic of the Philippines Supreme Court Manila

EN BANC

GABINO V. TOLENTINO and FLORDELIZA C. TOLENTINO,

Complainants,

-versus-

A.C. No. 6387

[Formerly CBD Case No. 11-3001]

Present:

SERENO, C.J.,

CARPIO,

VELASCO, JR.,

LEONARDO-DE CASTRO,

BRION,*

PERALTA,

BERSAMIN,

DEL CASTILLO,

PEREZ,

MENDOZA,**

REYES,

PERLAS-BERNABE,

LEONEN,

JARDELEZA, and CAGUIOA, JJ.

ATTY. HENRY B. SO and ATTY. FERDINAND L. ANCHETA,

Respondents.

Promulgated:

July 19, 2016

RESOLUTION

PER CURIAM:

This resolves a disbarment case against respondent Atty. Henry B. So for neglect in handling a case, and respondent Atty. Ferdinand L. Ancheta for

On leave.

[&]quot; On official leave.

extorting ₱200,000.00 from a client.

Complainant Flordeliza C. Tolentino was the defendant in Civil Case No. SC-2267 entitled "Benjamin Caballes v. Flordeliza Caballes," a case involving recovery of possession of a parcel of land. On June 24, 1991, Branch 26 of the Regional Trial Court of Sta. Cruz, Laguna, rendered the Decision² against complainant Flordeliza ordering her to vacate the land.

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The case was appealed³ to the Court of Appeals through complainant Flordeliza's counsel, Atty. Edilberto U. Coronado (Atty. Coronado). While the appeal was pending, Atty. Coronado was replaced by Atty. Henry B. So (Atty. So), a lawyer of the Bureau of Agrarian Legal Assistance of the Department of Agrarian Reform.⁴

Complainants Flordeliza and Gabino V. Tolentino, her husband, afterwards learned that the Court of Appeals affirmed⁵ the Regional Trial Court Decision against complainant Flordeliza. Complainants contend that Atty. So did not inform them nor take the necessary action to elevate the case to this Court.⁶ Thus, they were compelled to secure the legal services of Atty. Ferdinand L. Ancheta (Atty. Ancheta), whom they paid ₱30,000.00 as acceptance fee.⁷

Atty. Ancheta allegedly promised them that there was still a remedy against the adverse Court of Appeals Decision, and that he would file a "motion to reopen appeal case." Atty. Ancheta also inveigled them to part with the amount of ₱200,000.00 purportedly to be used for making arrangements with the Justices of the Court of Appeals before whom their case was pending.⁹

Initially, complainants did not agree to Atty. Ancheta's proposal because they did not have the money and it was against the law. However, they eventually acceded when Atty. Ancheta told them that it was the only recourse they had to obtain a favorable judgment. 11

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¹ *Rollo*, p. 12.

Id. at 12-23. The Decision was penned by Judge Jose Catral Mendoza (now Associate Justice of this Court).

³ Id. at 24.

⁴ Id. at 133, Notice of Appearance dated August 11, 1993.

Id. at 25-37. The Decision was penned by Associate Justice Josefina Guevara-Salonga and concurred in by Associate Justices Delilah Vidallon-Magtolis and Teodoro P. Regino of the Ninth Division, Court of Appeals, Manila.

⁶ Id. at 2.

⁷ Id. at 38.

⁸ Id. at 2.

Id.

¹⁰ Id.

¹¹ Id.

Hence, in January 2003, they deposited ₱200,000.00 to Atty. Ancheta's Bank Account No. 1221275656 with the United Coconut Planters Bank. 12

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Complainants were surprised to learn that no "motion to reopen case" had been filed, ¹³ and the Court of Appeals Decision had become final and executory. ¹⁴

Hence, complainants sought to recover the amount of ₱200,000.00 from Atty. Ancheta. Through a letter dated September 10, 2003¹⁵ by their new counsel, complainants demanded for the return of the ₱200,000.00. However, Atty. Ancheta did not heed their demand despite receipt of the letter.

On May 17, 2004, complainants filed their *Sinumpaang Sakdal*¹⁶ praying for the disbarment of Atty. So for neglect in handling complainant Flordeliza's case, and Atty. Ancheta for defrauding them of the amount of \$\mathbb{P}\$200,000.00.

Atty. So counters that he was no longer connected with the Bureau of Agrarian Legal Assistance of the Department of Agrarian Reform when the Court of Appeals Decision was promulgated on July 16, 2001.¹⁷ He alleges that he worked at the Bureau from 1989 to 1997, and that he resigned to prepare for the elections in his hometown in Western Samar.¹⁸ It was a procedure in the Bureau that once a handling lawyer resigns or retires, his or her cases are reassigned to other lawyers of the Bureau.¹⁹

Atty. Ancheta did not file a comment despite due notice. Hence, in this Court's Resolution dated February 23, 2011,²⁰ he was deemed to have waived his right to file a comment. This Court referred the case to the Integrated Bar of the Philippines for investigation, report, and recommendation.²¹

On June 8, 2011, the Commission on Bar Discipline of the Integrated Bar of the Philippines directed the parties to appear for mandatory conference at 10:00 a.m. on July 6, 2011. However, on July 6, 2011, only



¹² Id.

¹³ Id. at 44, Annex L.

¹⁴ Id. at 45, Annex M.

¹⁵ Id. at 46–47.

¹⁶ Id. at 1–3.

¹⁷ Id. at 122, Atty. So's Comment.

¹⁸ Id. at 121.

¹⁹ Id. at 122.

²⁰ Id. at 194.

²¹ Id.

Id. at 196, Notice of Mandatory Conference/Hearing.

Atty. So appeared.²³ Since there was no showing on record that complainants and Atty. Ancheta were notified, the mandatory conference was reset to August 10, 2011 at 10:00 a.m.²⁴

In the August 10, 2011 mandatory conference, complainant Flordeliza was represented by her daughter, Arlyn Tolentino, together with counsel, Atty. Restituto Mendoza.²⁵ Arlyn Tolentino informed the Commission that complainant Gabino V. Tolentino had already died.²⁶ Respondents did not appear despite due notice.²⁷

Hence, the mandatory conference was terminated, and the parties were directed to submit their respective verified position papers within a non-extendible period of 10 days from notice. After, the case would be submitted for report and recommendation.²⁸

On September 19, 2011, complainant Flordeliza filed as her position paper, a Motion for Adoption of the Pleadings and their Annexes in this Case, ²⁹ including the relevant documents in Criminal Case No. SC-1191 (for estafa) against Atty. Ancheta, which she filed.

Atty. So filed his Position Paper³¹ on September 15, 2011. Atty. Ancheta did not file any position paper.³²

The Commission on Bar Discipline recommended³³ that Atty. So be absolved of the charge against him for insufficiency of evidence.³⁴ As to Atty. Ancheta, the Commission found him guilty of serious misconduct and deceit and recommended his disbarment.³⁵

In the Resolution³⁶ dated December 14, 2014, the Integrated Bar of the Philippines Board of Governors adopted and approved the findings and recommendations of the Investigating Commissioner.

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Id. at 197, Minutes of the Hearing on July 6, 2011.

²⁴ Id. at 198, Order dated July 6, 2011.

Id. at 202, Minutes of the Hearing on August 10, 2011.

²⁶ Id. at 205–207.

²⁷ Id. at 203, Order dated August 10, 2011.

²⁸ Id.

²⁹ Id. at 224–227.

Id. at 228–230, Annex "A", Sinumpaang Sakdal of complainants; 231–232, Annex "B", Sinumpaang Sakdal of Roseline Caballes; 233, Annex "C", Memorandum of Preliminary Investigation; 234, Annex "D", Subpoena; and 235, Annex "E", Warrant of Arrest.

³¹ Id. at 209–215.

Id. at 241, Report and Recommendation dated September 6, 2013.

Id. at 240–248. The Report and Recommendation was penned by Commissioner Romualdo A. Din, Jr.

Id. at 248.

id.

³⁶ Id. at 238.

On January 11, 2016, the Board of Governors transmitted its Resolution to this Court for final action, pursuant to Rule 139-B of the Rules of Court.³⁷

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This Court accepts and adopts the findings of the Integrated Bar of the Philippines Board of Governors.

I

The Integrated Bar of the Philippines correctly absolved Atty. So of the charge of negligence in the performance of his duties as counsel of complainant Flordeliza.

Complainants fault Atty. So for failing to inform them about the Court of Appeals Decision and for not taking the necessary steps to elevate their case to this Court. However, it is undisputed that Atty. So was no longer employed at the Bureau of Agrarian Legal Assistance when the Court of Appeals Decision was rendered on July 16, 2001. Atty. So had resigned in 1997, four (4) years before the Decision was promulgated. 39

Atty. So handled the appeal of complainant Flordeliza in his capacity as a government-employed legal officer of the Bureau of Agrarian Legal Assistance of the Department of Agrarian Reform. In his Notice of Appearance⁴⁰ dated August 11, 1993 and Motion to Admit Additional Evidence⁴¹ dated November 22, 1993 filed before the Court of Appeals, Atty. So affixed his signature under the representation of the Bureau of Agrarian Legal Assistance.

Atty. So's appearance for complainant Flordeliza may be likened to that of a lawyer assigned to handle a case for a private law firm's client. If the counsel resigns, the firm is simply bound to provide a replacement. Similarly, upon Atty. So's resignation, the Director of the Bureau merely reassigned his case assignment to other lawyers in the Bureau even without complainants' consent.

It would have been prudent for Atty. So to have informed complainants about his resignation and the eventual reassignment of their case to another lawyer, although this was not required. Still, Atty. So's

³⁷ Id. at 237.

³⁸ Id. at 2.

³⁹ Id. at 121.

⁴⁰ Id. at 133.

⁴¹ Id. at 136–137.

Rilloraza v. Eastern Telecommunications Phils., Inc., 369 Phil. 1, 10 (1999) [Per J. Pardo, First Division].

omission is not of such gravity that would warrant his disbarment or suspension. The serious consequences of disbarment or suspension should follow only where there is a clear preponderance of evidence of the respondent's misconduct affecting his standing and moral character as an officer of the court and member of the bar. ⁴³

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On the other hand, complainants were not entirely blameless. Had complainants been indeed vigilant in protecting their rights, they should have followed up on the status of their appeal; thus, they would have been informed of Atty. So's resignation. Atty. So resigned four (4) years before the Court of Appeals Decision was promulgated. Thus, complainants had ample time to engage the services of a new lawyer to safeguard their interests if they chose to do so. A party cannot blame his or her counsel for negligence when he or she is guilty of neglect. 45

II

The same conclusion cannot be made with regards Atty. Ancheta. We agree with the Integrated Bar of the Philippines' recommendation that he should be disbarred.

Atty. Ancheta's repeated failure to comply with several of this Court's Resolutions requiring him to comment on the complaint lends credence to complainants' allegations. It manifests his tacit admission. Hence, we resolve this case on the basis of complainants' *Sinumpaang Sakdal* and its Annexes.

It was established by the evidence on record that (1) Atty. Ancheta received the acceptance fee of ₱30,000.00 on December 9, 2002;⁴⁶ and (2) complainants deposited on January 17, 2003⁴⁷ the amount of ₱200,000.00 to Atty. Ancheta's bank account. Atty. Ancheta made false promises to complainants that something could still be done with complainant Flordeliza's case despite the Court of Appeals Decision having already attained finality on September 22, 2001.⁴⁸ Worse, he proposed bribing the Justices of the Court of Appeals in order to solve their legal dilemma.

Atty. Ancheta should have very well known that a decision that has attained finality is no longer open for reversal and should be respected.⁴⁹ A

See Gonzaga v. Atty. Villanueva, Jr., 478 Phil. 859, 870 (2004) [Per C.J. Davide, Jr., First Division], citing Resurreccion v. Sayson, 360 Phil. 313, 321 (1998) [Per Curiam, En Banc].

Rollo, p. 121.

See Macapagal v. Court of Appeals, 338 Phil. 206, 217 (1997) [Per J. Mendoza, Second Division].
 Rollo, p. 38.

⁴⁷ Id. at 43.

⁴⁸ Id. at 45.

⁴⁹ Atty. Alonso, et al. v. Atty. Relamida, Jr., 640 Phil. 325, 333 (2010) [Per J. Peralta, En Banc].

lawyer's duty to assist in the speedy administration of justice⁵⁰ demands recognition that at a definite time, issues must be laid to rest and litigation ended.⁵¹ As such, Ancheta should have advised complainants to accept the judgment of the Court of Appeals and accord respect to the just claim of the opposite party. He should have tempered his clients' propensity to litigate and save them from additional expense in pursuing their contemplated action. Instead, he gave them confident assurances that the case could still be reopened and even furnished them a copy of his prepared "motion to reopen case." Despite his representation that he would file the motion, however, he did not do so.⁵²

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Atty. Ancheta's deceit and evasion of duty is manifest. He accepted the case though he knew the futility of an appeal. Despite receipt of the ₱30,000.00 acceptance fee, he did not act on his client's case. Moreover, he prevailed upon complainants to give him ₱200,000.00 purportedly to be used to bribe the Justices of the Court of Appeals in order to secure a favorable ruling, palpably showing that he himself was unconvinced of the merits of the case. "A lawyer shall not, for any corrupt motive or interest, encourage any suit or proceeding or delay any man's cause." Atty. Ancheta's misconduct betrays his lack of appreciation that the practice of law is a profession, not a money-making trade. ⁵⁴

As a servant of the law, Atty. Ancheta's primary duty was to obey the laws and promote respect for the law and legal processes. Corollary to this duty is his obligation to abstain from dishonest or deceitful conduct, as well as from "activities aimed at defiance of the law or at lessening confidence in the legal system." Atty. Ancheta's advice involving corruption of judicial officers tramps the integrity and dignity of the legal profession and the judicial system and adversely reflects on his fitness to practice law.

Complainants eventually found out about his duplicity and demanded for the return of their money.⁵⁸ Still, Atty. Ancheta did not return the

⁸ *Rollo*, pp. 46–47.

Code of Professional Responsibility, Canon 12.

In Re Joaquin T. Borromeo, 311 Phil. 441, 508 (1995) [Per Curiam, En Banc].

⁵² *Rollo*, p. 2.

Code of Professional Responsibility, Canon 1, rule 1.03 provides: Rule 1.03. – A lawyer shall not, for any corrupt motive or interest, encourage any suit or proceeding or delay any man's cause.

Manzano v. Atty. Soriano, 602 Phil. 419, 427 (2009) [Per Curiam, En Banc]; Atty. Khan, Jr. v. Atty. Simbillo, 456 Phil. 560, 567 (2003) [Per J. Ynares-Santiago, First Division].

Code of Professional Responsibility, Canon 1 provides:

CANON 1 — A lawyer shall uphold the constitution, obey the laws of the land and promote respect for law and for legal processes.

Code of Professional Responsibility, Canon 1, rule 1.01 provides:

Rule 1.01. - A lawyer shall not engage in unlawful, dishonest, immoral or deceitful conduct.

Code of Professional Responsibility, Canon 1, rule 1.02 provides:
Rule 1.02. – A lawyer shall not counsel or abet activities aimed at defiance of the law or at lessening confidence in the legal system.

₱200,000.00 and the ₱30,000.00 despite his failure to render any legal service to his clients.⁵⁹

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Atty. Ancheta breached the following duties embodied in the Code of Professional Responsibility:

CANON 7 – A LAWYER SHALL AT ALL TIMES UPHOLD THE INTEGRITY AND DIGNITY OF THE LEGAL PROFESSION AND SUPPORT THE ACTIVITIES OF THE INTEGRATED BAR.

. . .

CANON 15 – A LAWYER SHALL OBSERVE CANDOR, FAIRNESS AND LOYALTY IN ALL HIS DEALINGS AND TRANSACTIONS WITH HIS CLIENTS.

. . . .

Rule 15.05. – A lawyer, when advising his client, shall give a candid and honest opinion on the merits and probable results of the client's case, neither overstating nor understating the prospects of the case.

Rule 15.06. – A lawyer shall not state or imply that he is able to influence any public official, tribunal or legislative body.

Rule 15.07. – A lawyer shall impress upon his client compliance with the laws and the principles of fairness.

. . .

CANON 16 – A LAWYER SHALL HOLD IN TRUST ALL MONEYS AND PROPERTIES OF HIS CLIENT THAT MAY COME INTO HIS POSSESSION.

Rule 16.01. – A lawyer shall account for all money or property collected or received for or from the client.

. . .

Rule 16.03. – A lawyer shall deliver the funds and property of his client when due or upon demand. . . .

. . . .

. . . .

CANON 17 – A LAWYER OWES FIDELITY TO THE CAUSE OF HIS CLIENT AND HE SHALL BE MINDFUL OF THE TRUST AND CONFIDENCE REPOSED IN HIM.

CANON 18 – A LAWYER SHALL SERVE HIS CLIENT WITH COMPETENCE AND DILIGENCE.

⁵⁹ Id.

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Rule 18.03. — A lawyer shall not neglect a legal matter entrusted to him, and his negligence in connection therewith shall render him liable.

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A lawyer "must at no time be wanting in probity and moral fiber, which are not only conditions precedent to his entrance to the Bar but are likewise essential demands for his continued membership therein." Atty. Ancheta's deceit in dealing with his clients constitutes gross professional misconduct and violates his oath, thus justifying his disbarment under Rule 138, Section 27⁶² of the Rules of Court.

Furthermore, his failure to heed the following Resolutions of the Court despite notice aggravates his misconduct:

- (1) Resolution⁶³ dated June 21, 2004, requiring him to comment on the complaint;
- (2) Resolution⁶⁴ dated October 16, 2006, directing him to show cause why he should not be disciplinarily dealt with or held in contempt for failure to comply with the June 21, 2004 Resolution;
- (3) Resolution⁶⁵ dated January 21, 2009, imposing upon him the penalty of ₱1,000.00 for failure to comply with the June 21, 2004 and October 16, 2006 Resolutions;
- (4) Resolution⁶⁶ dated January 27, 2010, imposing an additional fine of ₱2,000.00 or a penalty of imprisonment of 10 days for failure to comply with the January 21, 2009 Resolution; and
- (5) Resolution⁶⁷ dated January 12, 2011, ordering his arrest and directing the National Bureau of Investigation to arrest and

Gonzaga v. Atty. Villanueva, Jr., 478 Phil. 859, 869 (2004) [Per C.J. Davide, Jr., First Division].

Sipin-Nabor v. Atty. Baterina y Figueras, 412 Phil. 419, 424-425 (2001) [Per J. Pardo, En Banc].

RULES OF COURT, Rule 138, sec. 27 provides:
Sec. 27. Disbarment or suspension of attorneys by Supreme Court, grounds therefor. — A member of the bar may be disbarred or suspended from his office as attorney by the Supreme Court for any deceit, malpractice, or other gross misconduct in such office, grossly immoral conduct, or by reason of his conviction of a crime involving moral turpitude, or for any violation of the oath which he is required to take before the admission to practice, or for a willful disobedience of any lawful order of a superior court or for corruptly or willfully appearing as an attorney for a party without authority to do so[.] See also Businos v. Atty. Ricafort, 347 Phil. 687, 695 (1997) [Per Curiam, En Banc].

Rollo, p. 52. See also rollo, p. 155, letter of the Postmaster, Makati Central Post Office, Makati City. The Resolution was received by a certain Rey Teresa on August 10, 2004.

⁶⁴ Id. at 157.

⁶⁵ Id. at 173.

⁶⁶ Id. at 175.

⁶⁷ Id. at 179.

detain him for five (5) days and until he complied with the previous Resolutions.

Atty. Ancheta's cavalier attitude in repeatedly ignoring the orders of this Court constitutes utter disrespect of the judicial institution. His conduct shows a high degree of irresponsibility and betrays a recalcitrant flaw in his character. Indeed, his continued indifference to this Court's orders constitutes willful disobedience of the lawful orders of this Court, which, under Rule 138, Section 27⁶⁸ of the Rules of Court, is in itself a sufficient cause for suspension or disbarment.

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The maintenance of a high standard of legal proficiency, honesty, and fair dealing⁶⁹ is a prerequisite to making the bar an effective instrument in the proper administration of justice.⁷⁰ Any member, therefore, who fails to live up to the exacting standards of integrity and morality exposes himself or herself to administrative liability.⁷¹

Atty. Ancheta's violations show that he is unfit to discharge the duties of a member of the legal profession. Hence, he should be disbarred.⁷²

WHEREFORE, the complaint against respondent Atty. Henry B. So is **DISMISSED** for insufficiency of evidence. On the other hand, this Court finds respondent Atty. Ferdinand L. Ancheta **GUILTY** of gross misconduct in violation of the Lawyer's Oath and the Code of Professional Responsibility and hereby **DISBARS** him from the practice of law. The Office of the Bar Confidant is **DIRECTED** to remove the name of Ferdinand L. Ancheta from the Roll of Attorneys.

Respondent Ancheta is **ORDERED** to return to complainants Gabino V. Tolentino and Flordeliza C. Tolentino, within 30 days from receipt of this Resolution, the total amount of \$\mathbb{P}230,000.00\$, with legal interest at 12% per annum from the date of demand on September 10, 2003 to June 30, 2013,

² Tan v. Diamante, A.C. No. 7766, August 5, 2014, 732 SCRA 1, 10 [Per Curiam, En Banc].

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⁵⁹ Luna v. Atty. Galarrita, A.C. No. 10662, July 7, 2015 http://sc.judiciary.gov.ph/pdf/web/viewer.html?file=/jurisprudence/2015/july2015/10662.pdf [Per J. Leonen, En Banc].

Atty. Alcantara, et al. v. Atty. De Vera, 650 Phil. 214, 220–221 (2010) [Per Curiam, En Banc].
 Villanueva v. Atty. Gonzales, 568 Phil. 379, 389 (2008) [Per J. Carpio, En Banc]; Sipin-Nabor v. Atty. Baterina y Figueras, 412 Phil. 419, 424 (2001) [Per J. Pardo, En Banc]; and Radjaie v. Atty. Alovera, 392 Phil. 1, 17 (2000) [Per Curiam, En Banc].

and at 6% per annum from July 1, 2013 until full payment. Respondent Ancheta is further **DIRECTED** to submit to this Court proof of payment of the amount within 10 days from payment.

Let copies of this Resolution be furnished to the Office of the Bar Confidant, the Integrated Bar of the Philippines, and the Office of the Court Administrator for dissemination to all courts in the country.

This Resolution takes effect immediately.

SO ORDERED.

WE CONCUR:

MARIA LOURDES P. A. SERENO

Chief Justice

ANTONIO T. CARPIO

Associate Justice

PRESBITERO J. VELASCO, JR.

Associate Justice

Ceruta limanto de Castro TERESITA I LEONARDO-DE CASTRO

Associate Justice

On leave

ARTURO D. BRION

Associate Justice

DIOSDADO M. PERALTA

Associate Justice

Associate Justice

MÁRIANO C. DEL-CASTILLO

Associate Justice

OSE PÕRTUG*A*L PEREZ

Associate Justice

On official leave

JOSE CATRAL MENDOZA

Associate Justice

BIENVENIDO L. REYES

Associate Justice

A.C. No. 6387 [Formerly CBD Case No. 11-3001]

Associate Justice

Associate Justice

Associate Justice

BENJAMIN S. CAGUIOA

ssociate Justice

CLERK OF COURT, EN BANC SUPREME COURT